



CD Bank Funding

Term funding at attractive rates

- Because CD rates typically lag FHLB advances in a rising interest rate environment, CDs may offer advantageous pricing, subject to market conditions.

No collateral requirements

- Funding can be ready when you need it.

No prepayment risk

- Under the terms of our offering documents, Brokered CDs can't be put back at investors' discretion, only upon death or adjudication of incompetency. See offering documents for complete details.

National distribution

- Our 500+ partners range from broker-dealers and institutions to ECNs and clearing firms.





Why Partner with Incapital?

Our Vast Network

Incapital has long-standing relationships with the significant CD dealers in the retail fixed income market.

Our Pioneering Technology

Our proprietary, web-based technology streamlines structuring, order execution, reporting and documentation processes.

Our Concierge Service

With minimal paperwork, no collateral requirements and our responsive team, your CD funding line can be available as soon as you need it.

About Incapital

Incapital was founded in 1999 by Tom Ricketts, now our Chairman as well as the Executive Chairman of the Chicago Cubs. We pioneered delivering innovative, institutional-quality strategies and offerings to the retail market. Our ground-breaking products, distribution, education and technology have been recognized dozens of times with awards from **Institutional Investor**, **mtn-i**, and **Structured Products Americas**.

For Financial Professional Use Only. Not for distribution to individual investors.

Nothing contained herein is to be considered a solicitation to any retail investor, research material, an investment recommendation, or advice of any kind. Any investment product sold prior to maturity may be worth more or less than the original amount invested. Depending upon the specific investment product, investment risks include, but are not limited to, interest rate risk, credit risk, call risk and liquidity risk. Additionally, unless otherwise specified in the respective offering documentation, the products discussed herein are not FDIC insured, may lose value and are not bank guaranteed. This information does not constitute an offer to sell or a solicitation of an offer to buy securities, nor shall there be any sale of securities in any state or jurisdiction in which such an offer, solicitation or sale would be unlawful.

©2019 Incapital. Securities offered through Incapital LLC, Member FINRA/SIPC.