




We help Financial Advisors address today's challenges so they and their clients may achieve a better tomorrow.



Risk Management Solutions

Incapital provides a suite of Market-Linked Product offerings issued by prominent investment banks that help Advisors strategically manage portfolios for their clients. The brokerage and advisory solutions we offer are flexibly designed to provide choices with respect to market exposure, time horizon, upside potential and level of downside protection.

Industry-Leading Education

Incapital offers a comprehensive platform for training Advisors and educating their clients on pertinent Market-Linked Product topics. Our platform includes:

- Educational literature and videos that can be shared with individual investors
- Training materials and online certification courses that help further an Advisor's understanding of product features and underlying performance benchmarks
- Informative and relevant investment presentations that can be utilized for Advisor and client seminars

Advisor Practice Support

Our team of Market-Linked Product professionals takes a consultative approach to helping Advisors expand their practices in several ways:

- We strive to understand each Advisor's business model and views in order to provide effective risk management solutions best suited to their clients' unique needs
- We proactively educate Advisors on various Market-Linked Product topics, including how to strategically position them in client portfolios
- We serve as a Market-Linked Product expert resource for market knowledge, product positioning, pricing, risk structuring and performance evaluation
- We offer personalized training on state-of-the-art online tools
- We offer insights into gathering new assets and conveying the Advisor's value to clients



Dedicated Team

We support our clients with deep expertise and personalized service that stems from a team of 50+ professionals dedicated to servicing Market-Linked Products.



About Incapital

Founded in 1999, Incapital is a premier distributor, underwriter and educator of securities and risk management investment solutions. Since inception, the firm has represented over 300 issuing entities and has underwritten more than \$480 billion in securities. Incapital currently serves 500+ distribution partners including broker-dealers, banks, wealth managers and institutional investors. Our ground-breaking products, distribution, education and technology have been recognized dozens of times with awards from *mtn-i*, *Structured Products*, and *Structured Retail Products*.

Learn More

For further information about our capabilities and services, or to speak with your dedicated Incapital Representative:

Call **888-849-5732**

Email **AdvisorSupport@incapital.com**

Visit **Incapital.com**

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