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ATTENTION: BUSINESS/FINANCIAL EDITORS



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## Nuveen Investments Completes Acquisition of Incapital's Unit Trust Platform

CHICAGO, May 2, 2016—Nuveen Investments, an operating division of TIAA Global Asset Management, today announced the completion of the acquisition of Incapital's Unit Trust platform. The transaction, which was [previously announced](#) on January 5, 2016, was completed effective April 30, 2016.

The acquisition reinforces Nuveen's commitment to strengthening its growing leadership position in the individual investor marketplace by providing investors access to the investment ideas of premier asset managers known for high-quality results in their distinct areas of investment expertise.

Additionally, key Incapital personnel who supported the Unit Trust platform in the areas of sales, product development and management, marketing, IT and operations have joined Nuveen's Unit Trust team, ensuring the smooth transition of products and services and client experience.

"We believe Unit Trusts offer unique benefits that nicely complement Nuveen's other investment products and enhance the ability of investors and their financial advisers to achieve desired portfolio outcomes," said William Adams IV, Co-President, Nuveen Investments. "We are pleased how well the Incapital and Nuveen Unit Trust teams have collaborated since our initial announcement to ensure a smooth transition for our clients and our portfolio consultant partners."

Nuveen was advised by Wells Fargo Securities, LLC. Incapital was advised by Grail Partners. Terms of the transaction were not disclosed.

### **About Nuveen Investments**

Nuveen provides investment solutions designed to help secure the long-term goals of individual investors and the financial advisors who serve them. Through the expertise and capabilities of TIAA Global Asset Management's high-caliber investment managers, Nuveen is committed to providing world-class consultative services and advice that align with client needs. Securities offered through Nuveen Securities, LLC, a subsidiary of Nuveen Investments, Inc. Nuveen Investments is an operating division of TIAA Global Asset Management. For more information, please visit the Nuveen Investments website at [www.nuveen.com](http://www.nuveen.com) or [www.nuveen.com/UIT](http://www.nuveen.com/UIT).

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Investment Expertise



## **About Incapital**

Incapital LLC was founded in 1999 and today is a leading underwriter and distributor of securities to more than 800 broker-dealers, institutions, asset managers, RIAs and banks. The firm represents more than 300 issuing entities and has underwritten more than \$400 billion in securities. Within its Wealth Management Solutions division, Incapital provides financial professionals with access to a broad range of risk-managed solutions including Market-Linked Products and asset allocation strategies, as well as a host of educational resources and dedicated support from a nationwide team of wholesalers. The firm is headquartered in Chicago, IL and has a principal office in Boca Raton, FL. Information on Incapital is available at [www.incapital.com](http://www.incapital.com).

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