



New Training Requirements for Advisory Offerings

Please complete all training below to submit Advisory indication of interests.

| Product Type | Advisory |
|---|--|
| Market Linked CD's (MLCD's) | <ul style="list-style-type: none">• Levels 1-3• Osaic Wealth Track 4 (New)• Osaic Wealth Advisory Track (New) |
| Principal Protected Notes (PPN's) | <ul style="list-style-type: none">• Levels 1-3• Buffered Notes• Autocallable Notes• Point-to-Point• Osaic Wealth Track 4 (New)• Osaic Wealth Advisory Track (New) |
| Non-Principal Protected Notes (NPPN's) | <ul style="list-style-type: none">• Levels 1-3• Buffered Notes• Autocallable Notes• Point-to-Point• Callable Yield Notes• Income Generator• Range Accruals• Trigger Range Accruals• Osaic Wealth Track 4 (New)• Osaic Wealth Advisory Track (New) |

New Training Requirements for Brokerage Offerings

Please complete the "New" Osaic Wealth Track 4 training modules prior to September 1, 2021 to continue to submit Brokerage indication of interests.

The complete list of training modules required for Brokerage indication of interests is illustrated below.

| Product Type | Brokerage |
|---|--|
| Market Linked CD's (MLCD's) | <ul style="list-style-type: none">• Levels 1-3• Osaic Wealth Track 4 (New) |
| Principal Protected Notes (PPN's) | <ul style="list-style-type: none">• Levels 1-3• Osaic Wealth Track 4 (New)• Buffered Notes• Autocallable Notes• Point-to-Point• |
| Non-Principal Protected Notes (NPPN's) | <ul style="list-style-type: none">• Levels 1-3• Osaic Wealth Track 4 (New)• Buffered Notes• Autocallable Notes• Point-to-Point• Callable Yield Notes• Income Generator• Range Accruals• Trigger Range Accruals |

For Osaic Wealth only. July 2023.